# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# **Annual Return**

(other than OPCs and Small **Companies**)

U74900HR2015PTC057396

THRIVENI SAINIK MINING PRIV/

AAHCT8201C

Pre-fill

Form language Refer the instruction kit for filing the form.

# I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

Global Location Number (GLN) of the company

- \* Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company

(iii)

(

csoffice@thrivenisainik.com
8220018099
27/11/2015

(iv)	Type of the Company	Category of the Company		Sub-category of the Company
	Private Company	Company limited	d by shares	Indian Non-Government company
(v) Wh	ether company is having share ca	pital	• Yes	O No
(vi) *W	hether shares listed on recognized	d Stock Exchange(s)	⊖ Yes	No

(vii) *Financial year From date 01	/04/2022	(DD/MM/YYY	Y) To date	31/03/2023	(DD/MM/YYYY)
(viii) *Whether Annual general me	eting (AGM) held	۲	Yes 🔿	No	
(a) If yes, date of AGM (b) Due date of AGM	23/09/2023 30/09/2023				
(c) Whether any extension for II. PRINCIPAL BUSINESS A	0		⊖ Yes	No	
II. FRINCIPAL BUSINESS P					

\*Number of business activities 2

	Main Activity group code		Business Activity Code		% of turnover of the company
1	В	Mining and quarrying	B1	Mining of Coal and lignite	80.65
2	В	Mining and quarrying	В5	Mining Support Services activities	19.35

# III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 1 Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	THRIVENI EARTHMOVERS PRIV.	U60231TZ1999PTC008876	Holding	74

# IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	800,000,000	288,907,769	288,907,769	288,907,769
Total amount of equity shares (in Rupees)	8,000,000,000	2,889,077,690	2,889,077,690	2,889,077,690

#### Number of classes

Class of Shares	Authorised	Issued capital	Subscribed	Deid un conital
Equity shares fully paid up	capital		capital	Paid up capital

Number of equity shares	800,000,000	288,907,769	288,907,769	288,907,769
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	8,000,000,000	2,889,077,690	2,889,077,690	2,889,077,690

### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	200,000,000	0	0	0
Total amount of preference shares (in rupees)	2,000,000,000	0	0	0

Number of classes

Class of shares Preference Share	Authorised capital	Icapital	Subscribed capital	Paid up capital
Number of preference shares	200,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	2,000,000,000	0	0	0

1

# (c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

## (d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	0	153,298,000	153298000	1,532,980,0	1,532,980,0	
Increase during the year	0	135,609,769	135609769	1,356,097,6	1,356,097,0	0
i. Pubic Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0

VIII. Conversion of Debentures         0 <th< th=""><th>vi. Sweat equity shares allotted</th><th>0</th><th>0</th><th>0</th><th>0</th><th>0</th><th>0</th></th<>	vi. Sweat equity shares allotted	0	0	0	0	0	0
viii. Conversion of Debentures0000000ix. GDRs/ADRs0000000000x. Others, specify 00 <t< td=""><td>vii. Conversion of Preference share</td><td>0</td><td>135 609 769</td><td>135609769</td><td>1 356 097 6</td><td>1 356 097</td><td></td></t<>	vii. Conversion of Preference share	0	135 609 769	135609769	1 356 097 6	1 356 097	
0         0         0         0         0         0         0         0           0	viii. Conversion of Debentures						
0         0         0         0         0         0         0         0           Decrease during the year         0         0         0         0         0         0         0         0         0           i. By-back of shares         0 <td>ix. GDRs/ADRs</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td>	ix. GDRs/ADRs	0	0	0	0	0	0
0         1	x. Others, specify	0	0	0	0	0	
0 $0$ <td>0</td> <td></td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td></td>	0		0	0	0	0	
0 $0$	Decrease during the year	0	0	0	0	0	0
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	i. Buy-back of shares	0	0	0	0	0	0
0         0         0         0         0         0         0         0         0           0	ii. Shares forfeited	0	0	0	0	0	0
0         0	iii. Reduction of share capital	0	0	0	0	0	0
At the end of the year       0       288,907,76s       28890776s       2.889,077,6       2.89,077,6       2.89,077,6	iv. Others, specify	0	0	0	0	0	
0       288,907,768       2889,077,68       0       125,000,00       743,500,00       743,500,00       743,500,00       743,500,00       743,500,00       743,500,00       743,500,00       743,500,00       743,500,00       743,500,00       61,2597,69       612,597,69							
At the beginning of the year       0       74,350,000       743,500,000       61,2597,690       612,597,69	At the end of the year	0	288,907,769	288907769	2,889,077,6	2,889,077,0	
1       0       74,350,000       743,500,000       612,597,690	Preference shares						
0 $61,259,769$ $61259769$ $612,597,690$ <	At the beginning of the year	0	74,350,000	74350000	743,500,000	743,500,00	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Increase during the year	0	61,259,769	61259769	612,597,690	612,597,69	0
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	i. Issues of shares	0	61,259,769	61259769	612,597,690	612,597,69	0
0         0	ii. Re-issue of forfeited shares	0	0	0	0	0	0
0         135,609,765         135609769         1,356,097,6         1,356,097,6         1,356,097,6         1,356,097,6         1,356,097,6         0           i. Redemption of shares         0	iii. Others, specify	1 0	0	0	0	0	
i. Redemption of shares000000ii. Shares forfeited000000iii. Reduction of share capital000000iv. Others, specify0135,609,76€1356,097,61,356,097,61,356,097,6Preference shares converted into equity shares0135,609,76€1356,097,61,356,097,61,356,097,6			_	-	-	-	
0000000ii. Shares forfeited0000000iii. Reduction of share capital0000000iv. Others, specify0135,609,76€1356,097,61,356,097,61,356,097,6Preference shares converted into equity shares0135,609,76€1356,097,61,356,097,6	Decrease during the year	0	135,609,769	135609769	1,356,097,6	1,356,097,	0
0       0       0       0       0       0       0         iii. Reduction of share capital       0       0       0       0       0       0       0       0         iv. Others, specify       0       135,609,765       135609769       1,356,097,6       1,356,097,6       1,356,097,6         Preference shares converted into equity shares       0       135,609,765       135609769       1,356,097,6       1,356,097,6		0	0	0	0	0	0
0       0       0       0       0       0       0         iv. Others, specify       0       135,609,76€       1356,097,6       1,356,097,6       1,356,097,6         Preference shares converted into equity shares       0       135,609,76€       1356,097,6       1,356,097,6	ii. Shares forfeited	0	0	0	0	0	0
Preference shares converted into equity shares       0       135,609,76\$ 135609769       1,356,097,6       1,356,097,6         At the end of the year       0 </td <td>iii. Reduction of share capital</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td>	iii. Reduction of share capital	0	0	0	0	0	0
At the end of the year	iv. Others, specify						
At the end of the year         0		0	135,609,769	135609769	1,356,097,6	1,356,097,0	
	At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split /	Number of shares			
Consolidation	Face value per share			
After split /	Number of shares			
Consolidation	Face value per share			

# (iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil [Details being provided in a CD/Digital Media]	⊖ Yes	🔿 No	O Not Applicable
Separate sheet attached for details of transfers	⊖ Yes	🔿 No	

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous	annual general meeting					
Date of registration of transfer (Date Month Year)						
Type of transfe	Type of transfer 1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock					
Number of Shares/ D Units Transferred	Number of Shares/ Debentures/ Units Transferred     Amount per Share/ Debenture/Unit (in Rs.)					
Ledger Folio of Trans	sferor					
Transferor's Name						
	Surname	middle name	first name			
Ledger Folio of Trans	sferee					

Transferee's Name			
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)						
Type of transfe	r	1 -	Equity, 2	2- Preference Shares,3 -	Debentures, 4 - Stock	
				Amount per Share/ Debenture/Unit (in Rs.)		
Ledger Folio of Trans	sferor					
Transferor's Name						
	Surr	ame		middle name	first name	
Ledger Folio of Trans	sferee		•			
Transferee's Name						
	Surr	ame		middle name	first name	

# (iv) \*Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	-	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

# (v) Securities (other than shares and debentures)

Type of	Number of	Nominal Value of	Total Nominal	Paid up Value of	Total Paid up Value
Securities	Securities	each Unit		each Únit	-
Total					

0

# V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

## (i) Turnover

15,280,793,677

### (ii) Net worth of the Company

2,515,116,071

### VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equ	ity	Preference		
		Number of shares	Percentage	Number of shares	Percentage	
1.	Individual/Hindu Undivided Family					
	(i) Indian	0	0	0		
	(ii) Non-resident Indian (NRI)	0	0	0		
	(iii) Foreign national (other than NRI)	0	0	0		
2.	Government					
	(i) Central Government	0	0	0		
	(ii) State Government	0	0	0		
	(iii) Government companies	0	0	0		
3.	Insurance companies	0	0	0		
4.	Banks	0	0	0		
5.	Financial institutions	0	0	0		

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	288,907,769	100	0	
10.	Others	0	0	0	
	Total	288,907,769	100	0	0

2

## Total number of shareholders (promoters)

# (b) \*SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equi	ity	Prefere	ence
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	

	Total	0	0	0	0
Total nur	nber of shareholders (other than prom	oters)			
	iber of shareholders (Promoters+Publi n promoters)	<b>c</b> / 2			

#### VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS (Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	0	0
Debenture holders	0	0

### VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

#### (A) \*Composition of Board of Directors

Category		Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive	
A. Promoter	0	5	0	4	0	0	
B. Non-Promoter	0	1	0	4	0	0	
(i) Non-Independent	0	1	0	4	0	0	
(ii) Independent	0	0	0	0	0	0	
C. Nominee Directors representing	0	0	0	0	0	0	
(i) Banks & FIs	0	0	0	0	0	0	
(ii) Investing institutions	0	0	0	0	0	0	
(iii) Government	0	0	0	0	0	0	
(iv) Small share holders	0	0	0	0	0	0	
(v) Others	0	0	0	0	0	0	
Total	0	6	0	8	0	0	

#### (B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
HIMMAT SINGH BEDL/	06534034	Managing Director	0	
SIDDHARTH JAIN	00175041	Director	0	
VRITPAL SINDHU	00033480	Additional director	0	
KULDEEP SINGH SOL	00009212	Director	0	
BALASUBRAMANIAN F	01428366	Director	0	
BALASUBRAMANIYAN	01428395	Director	0	
KARTIKESWAR PATR/	01849504	Additional director	0	
SANJAY KUMAR KHAT	10049373	Additional director	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

Name	DIN/PAN	beginning / during		Nature of change (Appointment/ Change in designation/ Cessation)
RUDRA SEN SINDHU	00006999	Director	05/12/2022	CESSATION
VRITPAL SINDHU	00033480	Additional director	05/12/2022	APPOINTMENT
KARTIKESWAR PATR/	01849504	Additional director	02/02/2023	APPOINTMENT
SANJAY KUMAR KHAT	10049373	Additional director	02/02/2023	APPOINTMENT
ROOPESH KUMAR SH	ASGPS0046N	Company Secretar	08/03/2023	RESIGNATION

# IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

3

Type of meeting			Attendance		
				% of total shareholding	
AGM	30/09/2022	2	2	100	
EGM	10/06/2022	2	2	100	
EGM	10/09/2022	2	2	100	

#### **B. BOARD MEETINGS**

\*Number of meetings held

8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting		Attendance
		of meeting	Number of directors attended	% of attendance
1	15/05/2022	6	4	66.67
2	21/06/2022	6	4	66.67
3	05/07/2022	6	4	66.67
4	04/08/2022	6	4	66.67
5	05/09/2022	6	6	100
6	23/09/2022	6	6	100
7	05/12/2022	7	7	100
8	02/02/2023	8	6	75

#### C. COMMITTEE MEETINGS

Nu	mber of meeting	gs held		2		
	S. No.	Type of meeting	Date of meeting	Total Number of Members as		Attendance
					Number of members attended	% of attendance
	1	CSR Committe	15/05/2022	2	2	100
	2	CSR Committe	05/09/2022	2	2	100

# D. \*ATTENDANCE OF DIRECTORS

		Board Meetings		Committee Meetings			Whether attended AGM	
S. No.	Name of the director		Meetings	% of attendance	Number of Meetings which director was	Meetings	% of attendance	held on
		entitled to attend	attended		entitled to attend	attended		23/09/2023
								(Y/N/NA)
1	HIMMAT SINC	8	8	100	0	0	0	No
2	SIDDHARTH .	8	3	37.5	0	0	0	No
3	VRITPAL SINI	2	1	50	0	0	0	No
4	KULDEEP SIN	8	8	100	2	2	100	Yes
5	BALASUBRAN	8	8	100	2	2	100	No
6	BALASUBRAN	8	8	100	0	0	0	Yes
7	KARTIKESWA	1	1	100	0	0	0	No
8	SANJAY KUM	1	1	100	0	0	0	No

### X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

🗌 Nil

lumber c	of Managing Director, W	/hole-time Directors	and/or Manager \	whose remuneratio	n details to be ente	ored 0	
S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						
lumber c	of CEO, CFO and Com	bany secretary who	se remuneration d	etails to be entered	1	1	
S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ROOPESH KUMAR	COMPANY SEC	5,846,955.47	0	0	0	5,846,955.47
	Total		5,846,955.47	0	0	0	0
lumber c	of other directors whose	e remuneration deta	ils to be entered			0	
S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

- \* A. Whether the company has made compliances and disclosures in respect of applicable Yes No provisions of the Companies Act, 2013 during the year
  - B. If No, give reasons/observations

XII. PENA	ALTY AND PUNISHMEN	IT - DETAILS THERE	OF	

#### (A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS NI

		Date of Order	Name of the Act and section under which penalised / punished		Details of appeal (if any) including present status		
(B) DETAILS OF COMPOUNDING OF OFFENCES NII							

Name of the			leaction under which	Particulars of offence	Amount of compounding (in Rupees)			

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

A	ASHISH KUM	AR GUPTA				
llow	$\sim$		Follow			

Whether associate or

Name

) Associate 

Fellow

Certificate of practice number

#### I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.

(d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

#### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. 10

01/04/2023

dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been compiled with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

# Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by						
Director	KARTHIKEYAN Digitaliy sejmed by KARTHIKEYAN BALASUBRAM BALASUBRAMANIAN ANIAN District Control of the control of					
DIN of the director	01428395					
To be digitally signed by	LOGANATH Digitally signed by LOGANATHAN AN BHASKAR BHASKAR 19:34:44 +05'30'					
<ul> <li>Company Secretary</li> </ul>						
⊖ Company secretary in practice						
Membership number 70344 Certificate of pra			ctice number			
Attachments				Li	ist of attachments	
1. List of share holders, debenture holders			Attach		f shareholders.pdf	
2. Approval letter for extension of AGM;			Attach	MG1-8_1 hrive	eni_Sainik_2023.pdf	
3. Copy of MGT-8;			Attach			
4. Optional Attachement(s)	Attach					
				F	Remove attachment	
Modify	Check	Form	Prescrutiny		Submit	

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



# AKG & Co.

#### **Company Secretaries**

Add: A-16 Jitar Nagar, Parwana Road, Delhi-110051 Phone: 9312210264; E-mail: guptaashish75@gmail.com

#### Form No. MGT-8

# [Pursuant to Section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

#### CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **Thriveni Sainik Mining Private** Limited (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31/03/2023. In my opinion, to the best of my information, according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- **B**. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
- 1. Its status under the Act- **Thriveni Sainik Mining Private Limited** is a Private Limited Company registered under Companies Act, 2013 and authorized Share Capital of the Company is 10,00,00,000/- (Rupees One Thousand crores) with active status.
- 2. Maintenance of registers/records & making entries therein within the time prescribed thereof;
- 3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time as provided under Sec.403 of the Companies Act,2013.
- 4. Calling/ convening/ holding meetings of Board of Directors and the meetings of the Members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given, the proceedings have been properly recorded in the Minutes Book/registers maintained for the purpose and the same has been signed;
- 5. Closure of Register of Members/ Security holders, as the case may be Not Applicable;
- 6. Advances/ loans to its directors and/ or persons or firms or companies referred in Section 185 of the Act Not Applicable;
- 7. Contracts/ arrangements with related parties as specified in Section 188 of the Act;
- Issue or allotment of Preference Shares/ or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/alteration or reduction of share capital/ conversion of Preference shares into Equity Shares/ securities and issue of security certificates in all instances;
- 9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act Not Applicable;
- 10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act **Not Applicable;**
- 11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub sections (3), (4) and (5) thereof;

- 12. Board of Directors of the company has been duly constituted as per the requirement of the Act. The reappointment/ regularisation of the director(s) was in accordance with the Act. The Company has paid remuneration only to Company Secretary (CS) of the Company. Company has not paid any remuneration or sitting fees to any other directors;
- 13. Appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of Section 139 of the Act;
- 14. The Company has obtained necessary approvals of the Central Government, Tribunal, Company Law Board, Regional Director, Registrar, Court and / or such other authorities under the various provisions of the Act during the financial year as per the provisions of the Act **Not applicable**;
- 15. Acceptance/ renewal/ repayment of deposits Not applicable;
- 16. Borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
- 17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act **Not applicable**;
- 18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company Not applicable.



Ashish Kumar Gupta Practicing Company Secretary

**M. No.:** 6433 **C.P. No.:** 6859

**UDIN:** F006433E001702226 **Peer Review No.:** 766

**Place:** Delhi **Dated:** 07/11/2023

Note: The qualification, reservation or adverse remarks; if any, may be stated at the relevant place(s).

THRIVENI SAINIK MINING PRIVATE LIMITED

C/o. NTPC Ltd., Langatu P.O.: Barkagaon, Dist.- Hazaribag-825311, Jharkhand, India Phone: 06546-223115, Email: info@thrivenisainik.com



#### List of Shareholders of Company as on 31.03.2023

Sr. No	Name of Shareholders	Address	Type of Shares	No. of Shares	Amount	Shareholding (%)
1.	Thriveni Earthmovers Private Limited	No 22/110 Greenways Road Fairlands, Salem, Tamil Nadu, India, 636016	Equity share	21,37,91,749	2,13,79,17,490	74.00°°
2.	0	7th Floor, Corporate Tower, Ambience Mall, NH-8, Gurgaon, Gurgaon, Haryana, India, 122001	share	7,51,16,020	75,11,60,200	26.00%
	Total			28,89,07,769	2,88,90,77,690	100.00

#### For Thriveni Sainik Mining Private Limited

(Sanjay Kumar Khator) Director DIN: 100/19373

Date: 23.09.2023 Place: Hazaribag

Regd. Office: 7<sup>th</sup> Floor, Corporate Towers, Ambience Mall, NH-8, Gurgaon – 122002, Haryana, India Phone: 0124-2719000, Fax: 0124 271900, Email: ho@thrivenisainik.com Web:www.thrivenisainik.com